



#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/822,801	03/30/2001	Joseph Wing On Tam	USP1058H-JWT

CONFIRMATION NO. 9156

## FORMALITIES LETTER



\*OC000000006095548\*

David and Raymond Patent Group  
1050 Oakdale Lane  
Arcadia, CA 91006

Date Mailed: 05/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/26/2001 HTECKLU1 00000008 09822801

01 FC:201  
02 FC:205355.00 OP  
65.00 OP



**In The United States Patent and Trademark Office**

Serial Number: 09/822,801  
Applicants: Joseph Wing On Tam  
Filing Date: 03/30/2001  
Title: Method for Making Secured Personal Identity card and Procedures for Validation and Obtaining Secure Personal Information

Examiner: n/a  
Group Art Unit: 2131

Date: July 17, 2001

**Submission of Missing Parts**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

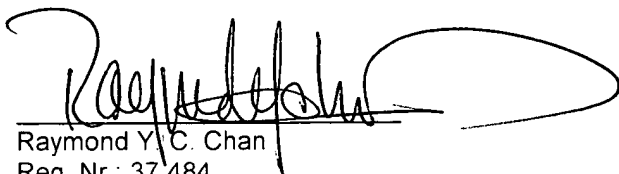
Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated 05/21/2001, the applicant respectfully submits the followings to satisfy the requirements:

1. A declaration form signed: 03/30/2001.
2. A verified statement of small entity status signed: 03/30/2001.
3. A check in the amount of US\$355.00 for the basic filing fee.
4. A check in the amount of US\$65.00 for declaration surcharge.
5. A copy of the Notice of Missing Parts

Please accept these documents and payments to the basic filing fee and continue the prosecution of the application. Thank you for your assistance.

Respectfully submitted,



Raymond Y. C. Chan  
Reg. Nr.: 37,484  
c/o 1050 Oakdale Lane,  
Arcadia, CA 91006  
Tel.: (626)571-9812  
Fax: (626)571-9813

**Certification of Mailing**

I certify that this correspondence will be deposited with the United States Postal Service as first class mail with proper postage affixed in an envelope addressed to: "Box Missing Parts, Commissioner of Patents and Trademarks, Washington, DC 20231," on the date below.

Mail Date: 07/20/2001

Signature:   
Person Signing: Raymond Y. Chan